AMENDMENT

In the Claims

Please amend the claims as follows (a clean copy of the amended claims being attached hereto):

1. (Twice Amended) A system for facilitating the processing and management of a securities trade comprising:

a computer;

trade execution information supplied by a first trading party and received by said computer, said trade execution information indicative of an executed trade by [a] the first trading party and comprising [trade data concerning one or more details of the] party supplied data elements concerning conditions of the executed trade itself;

trade allocation information supplied by a second trading party and received by said computer, said trade allocation information indicative of an ordered trade by [a] the second trading party and comprising [trade data concerning one or more details of the] party supplied data elements concerning conditions of the ordered trade itself;

a set of predefined acceptable trade details; and

software executing on said computer for comparing the [trade data] party supplied data elements contained in said trade execution information with the [trade data] party supplied data elements contained in said trade allocation

information, and for determining that a match exists if the [trade data] <u>party</u>

<u>supplied data elements</u> contained in said trade execution information and the

[trade data] <u>party supplied data elements</u> contained in said trade allocation

information correlate within said set of predefined acceptable trade details.

11. (Twice Amended) The system of Claim 1:

      wherein the [trade data] <u>party supplied data elements</u> contained in said trade

execution information and the [trade data] <u>party supplied data elements</u> contained in

said trade allocation information include minimum pairing data;

      further comprising software executing on said computer for comparing the

[trade data] <u>party supplied data elements</u> contained in said trade execution

information with the [trade data] <u>party supplied data elements</u> contained in said trade

allocation information, said software determining that a pairing exists if the minimum

pairing data of the [trade data] <u>party supplied data elements</u> contained in said trade

execution information corresponds to the minimum pairing data of the [trade data]

<u>party supplied data elements</u> contained in said trade allocation information; and

      wherein said matching software compares trade execution information and

trade allocation information only after a pairing has been found to exist.

23. (Twice Amended) A system for facilitating the processing and management of a

securities trade comprising:

      a computer;

trade execution information <u>supplied by a first trading party and</u> received by said computer, said trade execution information indicative of an executed trade by [a] <u>the</u> first trading party and comprising [trade data concerning one or more details] <u>party supplied data elements concerning conditions</u> of the executed trade itself, including a first trading party identification and minimum pairing data;

trade allocation information <u>supplied by a second trading party and</u> received by said computer, said trade allocation information indicative of an ordered trade by [a] <u>the</u> second trading party and comprising [trade data concerning one or more details] <u>party supplied data elements concerning conditions</u> of the ordered trade itself, including a second trading party identification and minimum pairing data;

a database containing a plurality of trading party profiles accessible by said computer and retrievable by trading party identification, the trading party profiles indicative of acceptable trade details;

a plurality of enrichment databases having enrichment data stored thereon;

software executing on said computer for enriching said trade execution information and said trade allocation information with data retrieved from said plurality of enrichment databases;

software executing on said computer for allowing the first trading party to access, modify and confirm the first trading party profile and said enrichment databases and for allowing the second trading party to access, modify and confirm the second trading party profile and said enrichment databases;

software executing on said computer for comparing the [trade data] party supplied data elements contained in said trade execution information with the [trade data] party supplied data elements contained in said trade allocation information, and for determining that a pairing exists if the minimum pairing data of the [trade data] party supplied data elements contained in said trade execution information corresponds to the minimum pairing data of the [trade data] party supplied data elements contained in said trade allocation information;

software executing on said computer for comparing the [trade data] party supplied data elements contained in said trade execution information with the [trade data] party supplied data elements contained in said trade allocation information if a pairing has been found to exist, and for determining that a match exists if the [trade data] party supplied data elements contained in said trade execution information and the [trade data] party supplied data elements contained in said trade allocation information correlate within the acceptable trade details supplied in the trading party profile for the first trading party and the trading party profile for the second trading party;

software executing on said computer for calculating a trade net amount if a match is determined to exist using the enriched trade execution information and the enriched trade allocation information; and

an affirmation generated by said computer if a match is determined to exist, the affirmation being transmitted to the first trading party and the second trading

party confirming that a match has been detected and containing all data necessary for settling the trade.

38. (Twice Amended) A method for facilitating the processing and management of a securities trade comprising the steps of:

receiving trade execution information from a first trading party, the trade execution information indicative of an executed trade by [a] the first trading party and comprising [trade data concerning one or more details] party supplied data elements concerning conditions of the executed trade itself, including a first trading party identification;

receiving trade allocation information from a second trading party, said trade allocation information indicative of an ordered trade by [a] the second trading party and comprising [trade data concerning one or more details] party supplied data elements concerning conditions of the ordered trade itself, including a second trading party identification;

providing a database containing a plurality of trading party profiles, each of the trading party profiles including an indication of acceptable trade details;

retrieving a first trading party profile from the database based upon the first trading party identification and retrieving a second trading party profile from the database based upon the second trading party identification; and

comparing the [trade data] party supplied data elements contained in the trade execution information with the [trade data] party supplied data elements

contained in the trade allocation information and determining that a match exists if

the [trade data] party supplied data elements contained in the trade execution

information and the [trade data] party supplied data elements contained in the

trade allocation information correlate within the indication of acceptable trade

details supplied in the first trading party profile and the indication of acceptable

trade details supplied in the second trading party profile.

39. (Twice Amended) The method of Claim 38:

wherein the [trade data] party supplied data elements contained in the trade

execution information and the [trade data] party supplied data elements contained in

the trade allocation information include minimum pairing data;

further comprising, before said comparing and determining that a match exists

step, the step of comparing the [trade data] party supplied data elements contained

in the trade execution information with the [trade data] party supplied data elements

contained in the trade allocation information and determining that a pairing exists if

the minimum pairing data of the trade execution information corresponds to the

minimum pairing data of the trade allocation information; and

wherein said comparing and determining that a match exists step is

performed for the [trade data] party supplied data elements contained in the trade

execution information and the [trade data] party supplied data elements contained

in the trade allocation information only when a pairing has been found to exist.

Please add the following additional Claims

54.   The system of Claim 1 wherein said set of predefined acceptable trade parameters specify at least one variance range, which variance range is greater than zero, and wherein a match is determined to exist for a particular party supplied data element if the value of the particular party supplied data element contained in said trade execution information correlates with the value of the particular party supplied data element contained in said trade allocation information within the at least one variance range.

55.   The system of Claim 23 wherein said set of predefined acceptable trade parameters specify at least one variance range, which variance range is greater than zero, and wherein a match is determined to exist for a particular party supplied data element if the value of the particular party supplied data element contained in said trade execution information correlates with the value of the particular party supplied data element contained in said trade allocation information within the at least one variance range.

56.   The method of Claim 38 wherein said set of predefined acceptable trade parameters specify at least one variance range, which variance range is greater than zero, and wherein a match is determined to exist for a particular party supplied data element if the value of the particular party supplied data element